



**UNITED STATES ENVIRONMENTAL PROTECTION AGENCY**

REGION 4  
ATLANTA FEDERAL CENTER  
61 FORSYTH STREET  
ATLANTA, GEORGIA 30303-8960

**MAY 25 2010**

**CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Mr. Joe Curtis  
Environmental Manager  
R.T. Vanderbilt Company, Inc.  
396 Pella Way  
Murray, Kentucky 42071

Re: Docket No. TSCA-04-2010-2712(b)  
R.T. Vanderbilt Company, Inc.

Dear Mr. Curtis:

Enclosed is a copy of the ratified Consent Agreement and Final Order (CAFO) in the above-referenced matter. The original CAFO has been filed with the Regional Hearing Clerk and served on the parties as directed in Section 22.6 of the Consolidated Rules of Practice, 40 C.F.R. Part 22.

As stated in Paragraph 29 of the CAFO, the assessed penalty of \$37,267 is due within 30 days from the effective date. Please ensure that the face of your cashier's or certified check includes the name of the company and the Docket Number of this case.

Penalty payment questions should be directed to Ms. Lori Weidner either by telephone at (513) 487-2125 or by written correspondence to her attention at the U.S. Environmental Protection Agency's (EPA's) Cincinnati Accounting Operations address identified in the CAFO. Should you have any questions about this matter or your compliance status in the future, please call me at (404) 562-8979 or Mr. Mark Bean at (404) 562- 9000.

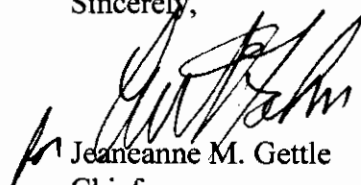
Also enclosed is a copy of a document entitled "Notice of Securities and Exchange Commission Registrants' Duty to Disclose Environmental Legal Proceedings." This document

Internet Address (URL) • <http://www.epa.gov>

Recycled/Recyclable • Printed with Vegetable Oil Based Inks on Recycled Paper (Minimum 30% Postconsumer)

puts you on notice of your potential duty to disclose to the Securities and Exchange Commission (SEC) any environmental enforcement actions taken by EPA.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeanne M. Gettle". The signature is stylized and written over the printed name.

Jeanne M. Gettle  
Chief  
Pesticides and Toxic  
Substances Branch

Enclosures

UNITED STATES  
ENVIRONMENTAL PROTECTION AGENCY  
ATLANTA, GEORGIA

RECEIVED  
EPA REGION 4  
2010 MAY 25 PM 3:13  
HEARING CLERK

In the Matter of: )  
R.T. Vanderbilt Company, Inc., ) Docket No. TSCA-04-2010-2712(b)  
Respondent. )  
\_\_\_\_\_ )

**CONSENT AGREEMENT AND FINAL ORDER**

**I. Nature of the Action**

1. This is a civil penalty proceeding pursuant to Section 16(a) of the Toxic Substances Control Act (TSCA), 15 U.S.C. § 2615(a), and pursuant to the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties and the Revocation/Termination or Suspension of Permits (Consolidated Rules), 40 C.F.R. Part 22. Complainant is the Director of the Air, Pesticides, and Toxics Management Division, United States Environmental Protection Agency, Region 4 (EPA). Respondent is R.T. Vanderbilt Company, Inc.
2. Complainant and Respondent have conferred for the purpose of settlement pursuant to 40 C.F.R. § 22.18 and desire to resolve this matter and settle the allegations described herein without a formal hearing. Therefore, without the taking of any evidence or testimony, the making of any argument, or the adjudication of any issue in this matter, and in accordance with 40 C.F.R. § 22.13(b), this Consent Agreement and Final Order (CAFO) will simultaneously commence and conclude this matter.

## **II. Preliminary Statements**

3. Pursuant to Section 15 of TSCA, 15 U.S.C. § 2614, it is unlawful for any person to:  
  - (1) fail or refuse to comply with any rule or order promulgated pursuant to Sections 4, 5, 8, 12, or 13 of TSCA (15 U.S.C. § 2603, § 2604, § 2607, § 2611, or § 2612); (2) use for commercial purposes a chemical substance or mixture that the person knew or had reason to know was manufactured, imported, processed, or distributed in commerce in violation of TSCA Section 5, 15 U.S.C. § 2604; (3) fail to maintain records, submit reports or information, or permit access to allow copying of records as required by TSCA; and, (4) refuse to permit entry or inspection as authorized by Section 11 of TSCA, 15 U.S.C. § 2610. Any person who violates a provision of Section 15 of TSCA may be assessed a penalty of up to \$27,500 for each such violation, in accordance with Section 16(a) of TSCA and 40 C.F.R. Part 19, as amended. For a violation occurring after March 15, 2004, but before January 12, 2009, a penalty of up to \$32,500 may be assessed. Each day a violation continues may constitute a separate violation.
4. The authority to take action under Section 16(a) of TSCA, 15 U.S.C. § 2615(a), is vested in the Administrator of EPA. The Administrator of EPA has delegated this authority under TSCA to EPA Region 4 by EPA Delegation 12-2-A, dated May 11, 1994. Within Region 4, the authority has been further delegated to the Director of the Air, Pesticides, and Toxics Management Division by Regional Delegation 12-2-A, dated January 14, 2009.
5. Complainant will file the original non-confidential CAFO with the Regional Hearing Clerk and a copy will be mailed to the Respondent. To determine the confidential business information (CBI) that was deleted (CBI deleted) from this CAFO, Complainant

and Respondent should refer to the EPA Confidential Notice of Violation dated November 3, 2009.

6. Pursuant to 40 C.F.R. § 22.5(c)(4) the following individual represents EPA in this matter and is authorized to receive service for EPA in this proceeding:

Mark Bean, Life Scientist  
Chemical Products and  
Asbestos Section  
U.S. EPA Region 4  
61 Forsyth Street, S.W.  
Atlanta, Georgia 30303-8960  
(404) 562-9000.

### **III. Specific Allegations**

7. On September 6, 2007, a duly credentialed EPA representative conducted an inspection at the chemical manufacturing facility owned by, R. T. Vanderbilt Company, Inc., located at 396 Pella Way, Murray, Kentucky, 40271.
8. As a result of the named inspection, Respondent submitted to EPA documentation including the 2006 Inventory Update Report (IUR) for the named facility and summaries of chemical products imported or manufactured at that facility during calendar year 2005. The documentation submitted was claimed by Respondent to be Confidential Business Information (CBI), so the relevant chemical substances shall be referred to hereinafter as Chemicals A and D, which is how they were designated by EPA in Notice of Opportunity to Show Cause Pursuant to Potential Violation of the Toxic Substances Control Act (Show Cause) letter to Respondent, dated November 3, 2009.
9. In the response letter to the named Show Cause, dated November 25, 2009, Respondent indicated that both the chemical substance designated as Chemical A, and that the chemical substance designated as Chemical D had been omitted from the IUR.

10. Chemical A was a chemical substance as that term is defined in 40 C.F.R. § 710.3(d).
11. Chemical D was a chemical substance as that term is defined in 40 C.F.R. § 710.3(d).
12. Respondent owns and operates a chemical manufacturing facility located at 396 Pella Way, Murray, Kentucky, 40271.
13. Respondent is a “person” as that term is defined in 40 C.F.R. § 710.3.
14. Respondent is one who “manufactures” as that term is defined in 40 C.F.R. § 710.3.
15. As defined in 40 C.F.R. § 710.43, the reporting year applicable to this matter was calendar year 2005.
16. As defined in 40 C.F.R. § 710.43, the submission period is the period in which information generated during the reporting year is submitted to EPA.
17. As designated in 40 C.F.R. § 710.53, the submission period applicable to this matter was between the dates of August 25, 2006, and March 23, 2007, inclusive.
18. Respondent submitted to EPA Form U (2006) Partial Updating of Inventory Data Base Site Report for the above-named facility for calendar year 2005, dated December 15, 2006.
19. According to the documentation named in paragraph 8 above, Respondent manufactured [CBI deleted] pounds of Chemical A, an amount in excess of the minimum reporting requirements pursuant to 40 C.F.R. § 710.52(c)(3), during the reporting year.
20. According to the documentation named in paragraph 8 above, Respondent manufactured [CBI deleted] pounds of Chemical D, an amount in excess of the minimum reporting requirements pursuant to 40 C.F.R. § 710.52(c)(3), during the reporting year.

21. Respondent failed to report [CBI deleted] pounds of Chemical A, manufactured in calendar year 2005, during the applicable submission period, a violation of 15 U.S.C. § 2614(3)(B).
22. Respondent failed to report [CBI deleted] pounds of Chemical D, manufactured in calendar year 2005, during the applicable submission period, a violation of 15 U.S.C. § 2614(3)(B).

#### **IV. Consent Agreement**

23. For the purposes of this CAFO, Respondent admits the jurisdictional allegations set forth above and neither admits nor denies the factual allegations set forth in Section III, above.
24. Respondent waives its right to a hearing on the allegations contained herein and its right to appeal the proposed Final Order accompanying the Consent Agreement.
25. Respondent consents to the assessment of the penalty proposed by EPA and agrees to pay the civil penalty as set forth in this CAFO.
26. Respondent certifies to the best of its knowledge that as of the date of its execution of this CAFO, it is in compliance with all relevant requirements of TSCA.
27. This CAFO constitutes a settlement by EPA of all claims for civil penalties pursuant to Section 16(a) of TSCA, for the specific violations alleged herein. Except as specifically provided in this CAFO, EPA reserves all other civil and criminal enforcement authorities, including the authority to address imminent hazards. Compliance with this CAFO shall not be a defense to any other actions subsequently commenced pursuant to Federal laws and regulations administered by EPA, and it is Respondent's responsibility to comply with said laws and regulations.

28. Complainant and Respondent agree to settle this matter by their execution of this CAFO. The parties agree that the settlement of this matter is in the public interest and that this CAFO is consistent with the applicable requirements of TSCA.

**V. Final Order**

29. Respondent is assessed a civil penalty of thirty-seven thousand, two hundred sixty-seven dollars (\$37,267.00) which shall be paid within 30 days from the effective date of this CAFO.
30. Respondent shall remit the civil penalty by either a cashier's or certified check made payable to the "Treasurer, United States of America," and shall send the check one of the following addresses:

For payment submittal by U.S. Postal Service:

U.S. Environmental Protection Agency  
Fines and Penalties  
Cincinnati Finance Center  
Post Office Box 979077  
St. Louis, MO 63197-9000

For payment submittal by any overnight mail service (Fed Ex, UPS, DHL, etc.):

U. S. Bank  
1005 Convention Plaza  
Mail Station SL-MO-C2GL  
St. Louis, MO 63101

Contact: Natalie Pearson (314) 418-4087

**The check shall refer on its face to the name of the Respondent and Docket Number of this CAFO.**



Payment by wire transfer, in lieu of a cashier's or certified check, if desired, should be directed to the Federal Reserve Bank of New York

Federal Reserve Bank of New York  
ABA = 021030004  
Account = 68010727  
SWIFT address = FRNYUS33  
33 Liberty Street  
New York, NY 10045  
Field Tag 4200 of the Fedwire message should read "D 68010727  
Environmental Protection Agency"

31. At the time of payment, Respondent shall send a separate, non-negotiable copy of the check or wire transfer, and a written statement that the payment has been made in accordance with this CAFO, to each of the following persons at the following addresses:

Regional Hearing Clerk  
U.S. EPA Region 4  
61 Forsyth Street, S.W.  
Atlanta, GA 30303-8960;

Mark Bean, Life Scientist  
Chemical Products and  
Asbestos Section  
U.S. EPA Region 4  
61 Forsyth Street, S.W.  
Atlanta, GA 30303-8960;

and

Saundi Wilson, Paralegal Specialist  
Office of Environmental Accountability  
U.S. EPA - Region 4  
61 Forsyth Street, S.W.  
Atlanta, GA 30303-8960.

32. For the purposes of state and federal income taxation, Respondent shall not be entitled, and agrees not to attempt, to claim a deduction for any civil penalty payment made pursuant to this CAFO. Any attempt by Respondent to deduct any such payments shall constitute a violation of this CAFO.

33. Pursuant to 31 U.S.C. § 3717, EPA is entitled to assess interest and penalties on debts owed to the United States and a charge to cover the cost of processing and handling a delinquent claim. Interest will therefore begin to accrue on the civil penalty from the date of entry of this CAFO, if the penalty is not paid by the date required. A charge will also be assessed to cover the administrative costs, both direct and indirect, of overdue debts. In addition, a late payment penalty charge shall be applied on any principle amount not paid within 90 days of the due date.
34. Complainant and Respondent shall bear their own costs and attorney fees in this matter.
35. This CAFO shall be binding upon the Respondent, its successors and assigns.
36. The undersigned representative of the party to this CAFO certifies that he or she is fully authorized by the party represented to enter into this CAFO and legally binds that party to this CAFO.

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**VI. Effective Date**

37. The effective date of this CAFO shall be the date on which the CAFO is filed with the Regional Hearing Clerk.

**AGREED AND CONSENTED TO:**

**Respondent:** R. T. Vanderbilt Company, Inc.  
**Docket No.:** TSCA-04-2010-2712(b)

By: R.L. Vonnahme (Signature) Date: 4/27/2010  
Name: R.L. Vonnahme (Typed or Printed)  
Title: Excc. V.P. & General Manager (Typed or Printed)

**Complainant:** U.S. Environmental Protection Agency

By: Kenneth R. Lapierre (Signature) Date: 4/30/10  
Kenneth R. Lapierre, Acting Director  
Air, Pesticides and Toxics  
Management Division  
61 Forsyth Street, S.W.  
Atlanta, GA 30303-8960

**APPROVED AND SO ORDERED** this 25<sup>th</sup> day of May, 2010.

By: Susan B. Schub  
Susan B. Schub  
Regional Judicial Officer

CERTIFICATE OF SERVICE

I hereby certify on the date set out below, I filed the original and one copy of the foregoing Consent Agreement and Final Order and served a true and correct copy of the foregoing Consent Agreement and Final Order, In the Matter of: R. T. Vanderbilt Company, Inc., Docket Number TSCA-04-2010-2712(b), to the addressees listed below, in the manner indicated:

Mr. Joe Curtis  
R.T. Vanderbilt Company, Inc.  
396 Pella Way  
Murray, Kentucky 42071

(Via Certified Mail, Return Receipt Requested)

Mark Bean  
Chemical Products and  
Asbestos Section  
U.S. EPA, Region 4  
61 Forsyth St., SW  
Atlanta, GA 30303

(Via EPA's internal mail)

Stacey Haire  
Associate Regional Counsel  
Office of Environmental Accountability  
U.S. EPA, Region 4  
61 Forsyth St., SW  
Atlanta, GA 30303

(Via EPA's internal mail)

Date: 5-25-10



Patricia A. Bullock, Regional Hearing Clerk  
U.S. Environmental Protection Agency, Region 4  
Atlanta Federal Center  
61 Forsyth Street, S.W.  
Atlanta, GA 30303  
(404) 562-9511

EPA ACCOUNTS RECEIVABLE CONTROL NUMBER FORM

TO BE COMPLETED BY THE ORIGINATING OFFICE:

(Attach a copy of the final order and transmittal letter to Defendant/Respondent)

This form was originated by: Saundi Wilson on 5/14/10 (Name) (Date)

in the Region 4, ORC, OEA at (404) 562-9504 (Office) (Telephone Number)

Non-SF Judicial Order/Consent Decree USAO COLLECTS

Administrative Order/Consent Agreement FMO COLLECTS PAYMENT

SF Judicial Order/Consent Decree DOJ COLLECTS

Oversight Billing - Cost Package required: Sent with bill

Not sent with bill

Other Receivable

Oversight Billing - Cost Package not required

This is an original debt

This is a modification

PAYEE: R.T. Vanderbilt Company Inc. (Name of person and/or Company/Municipality making the payment)

The Total Dollar Amount of the Receivable: \$ 37,267 (If installments, attach schedule of amounts and respective due dates. See Other side of this form.)

The Case Docket Number: TSCA 01-2010-2712(b)

The Site Specific Superfund Account Number:

The Designated Regional/Headquarters Program Office:

The IFMS Accounts Receivable Control Number is: Date

If you have any questions, please call: of the Financial Management Section at:

DISTRIBUTION:

A. JUDICIAL ORDERS: Copies of this form with an attached copy of the front page of the FINAL JUDICIAL ORDER should be mailed to:

- 1. Debt Tracking Officer Environmental Enforcement Section Department of Justice RM 1647 P.O. Box 7611, Benjamin Franklin Station Washington, D.C. 20044
2. Originating Office (EAD)
3. Designated Program Office

B. ADMINISTRATIVE ORDERS: Copies of this form with an attached copy of the front page of the Administrative Order should be to:

- 1. Originating Office
2. Regional Hearing Clerk
3. Designated Program Office
4. Regional Counsel (EAD)